



Stephen G. Austin, CPA, MBA

Firm Managing Partner

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PUBLIC PHARMACEUTICAL COMPANY BOARD EXPERIENCE:

2011 – 2020 **Harrow Health (Imprimus Pharmaceuticals)** – Chairman of the Audit Committee and Member of the Compensation and Governance Committees

2003 – 2012 **Avanir Pharmaceuticals** – Chairman of the Audit Committee and Member of the Compensation Committee

PRIVATE COMPANY BOARD EXPERIENCE:

2006 – October 2020 **Red Flag Group** – Chairman of the Audit Committee

PUBLICATIONS:

Audit Committee – Thought Leadership

- AICPA Audit Committee Toolkit
- Corporate Controllers Manual

Ethics and SOX

- Rise of the New Ethics Class (Book)
- **Journal of Accountancy – July 2012** – Updated COSO Framework Will Help Audit Committees Comply with SOX

New Lease Accounting – Thought Leadership

- **Journal of Accountancy – April 2020** – Pandemic Alters Lease Accounting Landscape
- **Journal of Accountancy – March 2020** – Lease Accounting Standard Requires New Auditor Judgments
- **Journal of Accountancy – September 2019** – Hidden in Plain Sight: Accounting for Embedded Leases
- **Journal of Accountancy – July 2019** – Lease Accounting: A Private Company Perspective
- **Journal of Accountancy – November 2017** – Practical Considerations for Lease Accounting
- **China Briefing – August 2017** – New FASB Lease Accounting Standards Impact US Business in China

CURRENT SEC CLIENTS (SWENSON):

- General Finance (Tax and M&A Consulting)
- Fennec Pharmaceuticals (SOX)
- Legacy Housing Corporation (SOX)
- Cadiz (Tax)
- AT&T / Md7 (Consulting)

PUBLIC COMPANY AUDIT CLIENTS LAST 40 YEARS:

- IPOs and Secondary Offerings: 20+
- During Years at PriceWaterhouse (PW): 10+
- Technical accounting support for public companies during PW National Office assignment in NYC: 50+
- Audit Clients (Swenson): 5
- Member of the AICPA Audit Committee Forum in NYC and Washington: 4 years

CURRENT NON-PROFIT BOARDS:

- **Faith Community Church** – Elder and Finance Committee Member
- **Family Heritage Foundation** – Board of Directors
- **Integra International** – Global Board of Directors
- **InSoCal CONNECT** – Chairman and Treasurer
- **Oak Valley College** – Advisory Board
- **Santa Fe Christian Schools** – Audit, Finance and Endowment Committees
- **Summit Ministries** – Board of Directors and Audit Committee
- **Swenson Children's Foundation** – Chairman, Executive Director, and Board of Directors